Attorney Docket No.: 021737-000710US

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FOWNSEND and TOWNSEND and CREW LLP

Sara B McPeak

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JEFFRY J. GRAINGER

Application No.: 09/996,077

Filed: November 27, 2001

For: METHOD OF DEFINING WORKFLOW RULES FOR MANAGING INTELLECTUAL

**PROPERTY** 

Confirmation No.: 1100

Examiner: Janice A. Mooneyham

Art Unit: 3629

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER

37 CFR §1.97 and §1.98

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

JEFFRY J. GRAINGER

Application No.: 09/996,077

Page 2

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the

information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses

all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the

undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit

any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Date: 8/21/06

William J. Daley Reg. No. 52,471

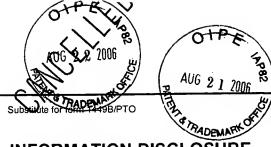
TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 303-571-4000

Fax: 303-571-4321 WJD:ng

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	12

	Complete if Known	
Application Number	09/996,077	
Filing Date	November 27, 2001	
First Named Inventor	Grainger, Jeffry J.	
Art Unit	3629	
Examiner Name	Janice A. Mooneyham	
Attorney Docket Number	021737-000710US	

			U.S. PATENT DO	CUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-5,031,214	07-09-1991	Dziewit et al.	
	A2	US-5,107,419	04-21-1992	MacPhail	
······	A3	US-5,144,556	09-01-1992	Wang et al.	and the state of t
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute	for form 1449B/PT0	)	-	Complete if Known		
				Application Number	09/996,077	
INFO	RMATION	DISCLOS	URE	Filing Date	November 27, 2001	
STA	STATEMENT BY APPLICANT			First Named Inventor	Grainger, Jeffry J.	fry J.
				Art Unit	3629	
	(Use as many sheets as necessary)			Examiner Name	Janice A. Mooneyham	
Sheet	2	of	12	Attorney Docket Number	021737-000710US	

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number Kind Code <sup>2 (# known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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-	Examiner	Date	
١	Signature	Considered	

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Complete if Known Substitute for form 1449B/PTO Application Number 09/996,077 INFORMATION DISCLOSURE Filing Date November 27, 2001 STATEMENT BY APPLICANT First Named Inventor Grainger, Jeffry J. Art Unit 3629 Examiner Name (Use as many sheets as necessary) Janice A. Mooneyham 12 Attorney Docket Number 021737-000710US Sheet 3

			U.S. PATENT DO		
Examiner Initials*	Cite No.1	Document Number  Number Kind Code <sup>2 (#known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner	Date
Signature	Considered

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Substitute for form 1449B/PTO			<u> </u>	Complete if Known		
				Application Number	09/996,077	
INFO	RMATION	DISCLOS	URE	Filing Date	November 27, 2001	
STATEMENT BY APPLICANT			ANT	First Named Inventor	Grainger, Jeffry J.	
				Art Unit	3629	
(Use as many sheets as necessary)				Examiner Name	Janice A. Mooneyham	
Sheet	4	of	12	Attorney Docket Number	021737-000710US	

FOREIGN PATENT DOCUMENTS								
Examiner		Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	
Initials*	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T <sup>6</sup>
	B1	EP	04/95983	A1	07-29-1992	Horikawa et al.		
	B2	wo	98/26343	A2/A3	06-18-1998	Petruzzi et al.		
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	B4	wo	02/05150	A2	01-17-2002	International Business Machines Corporation		
	B5	wo	02/37304	A2	05-10-2002	SAP Aktiengesellschaft		

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INF	ORMATION DI	SCLOS	SURE	Filing Date	November 27, 2001		
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				Art Unit	3629		
	(Use as many sheets a	s necessary)		Examiner Name	Janice A. Mooneyham		
Sheet	5	of	12	Attorney Docket Number	021737-000710US		

		NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
	C1	Bambridge, John, "A Java Application Framework For Support Staff And epoline (MADRAS)," Draft v1.0, pp. 1-6, 4-30-2004						
••••••	C2	Chartrand, Sabra, "Patents, The Process Of Filing An Application Is Slowly Catching Up With The Technology Available," The New York Times, 3 pages, January 26, 1998						
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			<u> </u>					
xaminer		Date						

Signature Considered

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STATEMENT BY APPLICANT				First Named Inventor	Grainger, Jeffry J.		
				Art Unit	3629		
	(Use as many she	ets as necessary)		Examiner Name	Janice A. Mooneyham		
Sheet	6	of	12	Attorney Docket Number	021737-000710US		

	-	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>					
	C12	CPI Computer Packages Inc., "Leadership For The Best Ideas In The World," http://www.computerpackages.com, 1 page, 2001						
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_				Art Unit	3629	
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Examiner Signature			Date Considered					

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				Art Unit	3629		
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Examiner Signature		Date Considered						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute	for form 1449B/PTO			Complete if Known		
				Application Number	09/996,077	
INFO	RMATION DIS	CLOS	SURE	Filing Date	November 27, 2001	
STATEMENT BY APPLICANT				First Named Inventor	Grainger, Jeffry J.	
				Art Unit	3629	
	(Use as many sheets as i	necessary)		Examiner Name	Janice A. Mooneyham	
Sheet	9	of	12	Attorney Docket Number	021737-000710US	

NON PATENT LITERATURE DOCUMENTS									
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the ar magazine, journal, serial, symposium, catalog, etc.), date, page and/or country where pub	e(s), volume-iss	ropnate), title of the item (book, ue number(s), publisher, city	T <sup>2</sup>				
	C48	PerfectLaw Software, "Case & Matter Management - Custom I http://www.perfectlaw.com/customtabs.htm, 1 page, download	PerfectLaw Software, "Case & Matter Management - Custom Information Fields," http://www.perfectlaw.com/customtabs.htm, 1 page, downloaded November 5, 2001						
	C49	PerfectLaw Software, "Case & Matter Management - Docket S http://www.perfectlaw.com/docketsch, 2 pages, downloaded N	PerfectLaw Software, "Case & Matter Management - Docket Scheduling," http://www.perfectlaw.com/docketsch, 2 pages, downloaded November 5, 2001						
	C50	PerfectLaw Software, "Case & Matter Management - Documents Processing," http://www.perfectlaw.com/docprocessing.htm, 1 page, downloaded November 5, 2001							
	C51	PerfectLaw Software, "DocPro," http://www.perfectlaw.com/docpro.htm, 7 pages, downloaded November 5, 2001							
	C52	PerfectLaw Software, "Front Office Software," http://www.perfectlaw.com/frontoff.htm, 2 pages, May 18, 2000							
	C53	PerfectLaw Software, "Scheduling And Time Management - Printing Daily/Weekly/Monthly Calendars," http://www.perfectlaw.com/printing.htm, 2 pages, downloaded November 5, 2001							
	C54	PerfectLaw Software, "Scheduling And Time Management - Reminders And Alarms," http://www.perfectlaw.com/reminders.htm, 3 pages, downloaded November 5, 2001							
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	C56	Schwegman, Michael L. et al., "Using The Internet To Support Education Services, pp. 4 cover pages and 1-161, November	Your Practice 30, 2000	In Minnesota," Lorman					
	C57	Shiang, R. "Software Reviews: GrantSlam 4.0.4", Biotec Softw 99-105	are & Internet	Report, Vol. 2, No. 3, 2001 pp					
	C58	Tichy, Walter F., "Design, Implementation, and Evaluation Of A	Tichy, Walter F., "Design, Implementation, and Evaluation Of A Revision Control System," IEEE, pp. 58- 67, 1982						
	C59	United States Patent and Trademark Office, eDAN, 4 pages, n	o date						
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				Art Unit	3629		
	(Use as many sheets as	necessary)	1	Examiner Name	Janice A. Mooneyham		
Sheet	10	of	12	Attorney Docket Number	021737-000710US		

i	NON PATENT LITERATURE DOCUMENTS								
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the ar magazine, journal, serial, symposium, catalog, etc.), date, page and/or country where pub	e(s), volume-iss	propriate), title of the item (book, sue number(s), publisher, city	T²				
	C60	United States Patent and Trademark Office, EAST, Figures 1-4, 4 pages, no date							
	C61	United States Patent and Trademark Office, EAST, Figures 1-4	United States Patent and Trademark Office, EAST, Figures 1-4 and Introduction, 6 pages, no date						
	C62	United States Patent and Trademark Office, "Electronic Filing System -Submission Manual ePAVE," http://www.uspto.gov/, 157 pages, downloaded September 11, 2001							
•••••	C63	United States Patent and Trademark Office, "U.S. Patent Application Specification Authoring Guide For WordPerfect XML Template," pp. cover and 1-65, December 14, 1999							
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	C66	US Patent Application No. 09/733,616, Office Action dated March 29, 2004, 21 pages							
	C67	US Patent Application No. 09/733,616, Final Office Action date	ed October 25,	, 2004, 19 pages					
·	C68	US Patent Application No. 09/733,616, Office Action dated Ma	ıy 23, 2005, 20	) pages					
······································	C69	US Patent Application No. 09/733,616, Final Office Action date	ed February 21	1, 2006, 37 pages					
	C70	US Patent Application No. 09/872,764, Office Action dated November 29, 2005, 8 pages							
	C71	US Patent Application No. 09/919,764, Office Action dated July 26, 2004, 21 pages							
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INFO	RMATION	DISCLOS	SURE	Filing Date	November 27, 2001	
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Sheet	11	of	12	Attorney Docket Number	021737-000710US	

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Examiner Initials *	Cite No. <sup>1</sup>					
	C72	US Patent Application No. 09/919,764, Office Action dated May 20, 2005, 21 pages				
	C73	US Patent Application No. 09/919,764, Office Action dated February 9, 2006, 22 pages				
	C74	US Patent Application No. 09/919,784, Office Action dated September 30, 2005, 23 pages				
	C75	US Patent Application No. 09/919,784, Final Office Action dated July 7, 2006, 16 pages				
	C76	US Patent Application No. 09/919,787, Office Action dated September 22, 2005, 20 pages				
	C77	US Patent Application No. 09/996,338, Office Action dated January 3, 2003, 13 pages				
	C78	US Patent Application No. 09/996,338, Final Office Action dated September 25, 2003, 16 pages				
	C79	US Patent Application No. 09/996,338, Office Action dated January 21, 2004, 10 pages				
	C80	US Patent Application No. 09/996,338, Final Office Action dated January 14, 2005, 20 pages				
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INFORMATION DISCLOSURE				Filing Date	November 27, 2001	-
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	C84	US Patent Application No. 09/997,311, Office Action dated August 10, 2004, 21 pages			
	C85	US Patent Application No. 09/997,311, Final Office Action dated March 1, 2005, 26 pages			
	C86	US Provisional Patent Application No. 60/185,644, filed February 29, 2000, File History, 60 pages			
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	C88	USPTO "e-TEAS Tutorial", downloaded from www.uspto.gov/teas/eTEAStutorial.htm, June 8, 2002, 4 pages			
		USPTO "Frequently Asked Questions about TEAS", downloaded from www.uspto.gov/teas/TEASFAQ.htm, Jun 2, 200, 10 pages			
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	C92	www.adobe.com as archived by the WAYBACKMACHINE on January 25, 1999, http://web.archive.org/web/*/www.adobe.com, 6 pages			
		www.legalstar.com as archived by the WAYBACKMACHINE on April 21, 1999, http://web.archive.org/web/*/http://www.legalstar.com, 7 pages			

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